

FORM PTO-1449		SERIAL NO. 10/542,167	CASE NO. 13687-36
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE May 4, 2007	GROUP ART UNIT 1771 <del>1771</del>
(use several sheets if necessary)	APPLICANT(S): James R. Millis et al.		CONFIRMATION NO. 8426

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/P.S./	C1	5,312,940	05/17/1994	Grubbs et al.		
	C2	5,710,298	01/20/1998	Grubbs et al.		
	C3	5,728,785	03/17/1998	Grubbs et al.		
	C4	5,728,917	03/17/1998	Grubbs et al.		
	C5	5,750,815	05/12/1998	Grubbs et al.		
	C6	5,811,515	09/22/1998	Grubbs et al.		
	C7	5,831,108	11/03/1998	Grubbs et al.		
	C8	5,849,851	12/15/1998	Grubbs et al.		
	C9	5,880,231	03/09/1999	Grubbs et al.		
	C10	5,917,071	06/29/1999	Grubbs et al.		
	C11	5,922,863	07/13/1999	Grubbs et al.		
	C12	5,939,504	08/17/1999	Woodson, Jr. et al.		
	C13	5,969,170	10/19/1999	Grubbs et al.		
	C14	5,977,393	11/02/1999	Grubbs et al.		
	C15	6,020,443	02/01/2000	Woodson, Jr. et al.		
	C16	6,040,363	03/21/2000	Warner et al.		
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	C19	6,197,894 B1	03/06/2001	Sunaga et al.		
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	C21	6,215,019 B1	04/10/2001	Pederson et al.		
	C22	6,284,852 B1	09/04/2001	Lynn et al.		
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	C24	6,310,121 B1	10/30/2001	Woodson, Jr. et al.		
	C25	6,316,380 B1	11/13/2001	Nolan et al.		
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	C27	6,376,690 B1	04/23/2002	Grubbs et al.		
	C28	6,409,875 B1	06/25/2002	Giardello et al.		
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	C32	6,433,101 B1	08/13/2002	Woodson et al.		
	C33	6,465,590 B1	10/15/2002	Maughon et al.		
	C34	6,525,125 B1	02/25/2003	Giardello et al.		
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	C36	6,610,626 B2	08/26/2003	Grubbs et al.		
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	C39	6,696,597 B2	02/24/2004	Pederson et al.		

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EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
P.S.	C40 6,759,537 B2	07/06/2004	Grubbs et al.		
	C41 6,794,534 B2	09/21/2004	Grubbs et al.		
	C42 6,803,429 B2	10/12/2004	Morgan et al.		
	C43 6,818,586 B2	11/16/2004	Grubbs et al.		
	C44 6,838,489 B2	01/04/2005	Bell et al.		
	C45 6,884,859 B2	04/26/2005	Grubbs et al.		
	C46 6,900,347 B2	05/31/2005	Paulson et al.		
	C47 6,921,735 B2	07/26/2005	Hoveyda et al.		
	C48 6,921,736 B1	07/26/2005	Nolan et al.		
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	C55 7,119,216 B2	10/10/2006	Newman et al.		
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	C57 7,205,424 B2	04/17/2007	Nolan		
	C58 7,285,593 B1	10/23/2007	Giardello et al.		
	C59 7,314,904 B2	01/01/2008	Nadolsky et al.		
	C60 7,329,758 B1	02/12/2008	Grubbs et al.		
	C61 7,365,140 B2	04/29/2008	Piers et al.		
	C62 7,507,854 B2	03/24/2009	Lee et al.		
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	C65 7,812,185 B2	10/12/2010	Burdett et al.		
	C66 2002/0095007 A1	07/18/2002	Larock et al.		
	C67 2003/0055262 A1	03/20/2003	Grubbs et al.		
	C68 2003/0100776 A1	05/29/2003	Grubbs et al.		
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	C72 2005/0261451 A1	11/24/2005	Ung et al.		
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	C75 2005/0080301 A1	04/14/2005	Maughon et al.		
	C76 2005/0154221 A1	07/14/2005	Lysenko et al.		
	C77 2006/0079704 A1	04/13/2006	Lacombe et al.		
	C78 2006/0128912 A1	06/15/2006	Piers et al.		
	C79 2008/0027194 A1	01/31/2008	Schrodi		
	C80 2008/0064891 A1	03/13/2008	Lee		

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## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/P.S./	C81 2009/0217568 A1	09/03/2009	Murphy et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/P.S./	C82 EP 1408064 A1	04/14/2004	EPO		
	C83 FR 2878246 A1	05/26/2006	France		Abstract
	C84 JP 09-014574 A	01/17/1997	Japan		Abstract
	C85 JP 56-077243 A	06/25/1981	Japan		Abstract
	C86 SU 1565872	05/23/1990	USSR		Abstract
	C87 WO 96/04289 A1	02/15/1996	WIPO		
	C88 WO 01/36368 A2	05/25/2001	WIPO		
	C89 WO 03/093215 A1	11/13/2003	WIPO		
	C90 WO 04/062763 A2	07/29/2004	WIPO		
	C91 WO 05/080455 A2	09/01/2005	WIPO		
	C92 WO 06/052688 A2	05/18/2006	WIPO		
	C93 WO 07/002999 A1	01/11/2007	WIPO		
	C94 WO 07/081987 A2	07/19/2007	WIPO		
	C95 WO 07/103398 A1	09/13/2007	WIPO		
	C96 WO 2008/046106 A2	04/17/2008	WIPO		
	C97 WO 2008/048522 A1	04/24/2008	WIPO		
	C98 WO 2008/063322 A2	05/29/2008	WIPO		

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.				
	C99	Anderson et al., "Synthesis and Reactivity of Olefin Metathesis Catalysts Bearing Cyclic (Alkyl)(Amino) Carbenes," <i>Angewandte Chemie International Edition</i> , Vol. 46, 2007, pp. 7262-7265			
	C100	Baumann et al., "Natural Fats and Oils - Renewable Raw Materials for the Chemical Industry," <i>Angewandte Chemie International Edition in English</i> , Vol. 27, 1988, pp. 41-62			
	C101	Biermann et al., "New Syntheses with Oils and Fats as Renewable Raw Materials for the Chemical Industry," <i>Angewandte Chemie International Edition</i> , Vol. 39, 2000, pp. 2206-2224			
	C102	Boelhauwer et al., "Metathesis Reactions of Fatty Acid Esters," <i>Progress of Lipid Research</i> , Pergamon Press, Vol. 24, No. 3, 1985, pp. 243-267			
	C103	Choi et al., "Olefin Metathesis Involving Ruthenium Enic Carbene Complexes," <i>Journal of the American Chemical Society</i> , Vol. 123, No. 42, 2001, pp. 10417-10418			
	C104	Connon et al., "A Solid-Supported Phosphine-Free Ruthenium Alkylidene for Olefin Metathesis in Methanol and Water," <i>Bioorganic &amp; Medical Chem Letters</i> , Vol. 12, No. 14, 2002, pp. 1873-1876			

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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
/P.S./	C105	Dunne et al., "A Highly Efficient Olefin Metathesis Initiator: Improved Synthesis and Reactivity Studies," <i>Tetrahedron Letters</i> , Vol. 44, No. 13, 2003, pp. 2733-2736
	C106	Erhan et al., "Drying Properties of Metathesized Soybean Oil," <i>Journal of American Oil Chemists' Society</i> , AOCs Press, Vol. 74, No. 6, 1997, pp. 703-706
	C107	Lavallo, "Stable Cyclic (Alkyl)(Amino) Carbenes as Rigid or Flexible, Bulky, Electron-Rich Ligands for Transition-Metal Catalysts: A Quaternary Carbon Atom Makes the Difference," <i>Angewandte Chemie Int. Ed.</i> , Vol. 44, 2005, pp. 5705-5709
	C108	Maynard et al., "Purification Technique for the Removal of Ruthenium from Olefin Metathesis Reaction Products," <i>Tetrahedron Letters</i> , Vol. 40, No. 22, 1999, pp. 4137-4140
	C109	Mol, "Applications of Olefin Metathesis in Oleochemistry: An Example of Green Chemistry," <i>Green Chemistry</i> , Royal Society of Chemistry, Cambridge, GB, Vol. 4, 2002, pp. 5-13
	C110	Mol et al., "Metathesis in Oleochemistry," <i>J Braz Chem Soc</i> , Vol. 9, No. 1, 1998, pp. 1-11
	C111	Mol, "Catalytic Metathesis of Unsaturated Fatty Acid Esters and Oils," <i>Topics in Catalysis</i> , Vol. 27, No. 1-4, 2004, pp. 97-104
	C112	Patel et al., "High conversion and productive catalyst turnovers in cross-metathesis reactions of natural oils with 2-butene," <i>Green Chemistry</i> , 2006, Vol. 8, pp. 450-454.
	C113	Refvik et al., "Ruthenium-Catalyzed Metathesis of Vegetable Oils," <i>Journal of American Oil Chemists' Society</i> , AOCs Press, Vol. 76, No. 1, 1999, pp. 93-98
	C114	Schneider et al., "Synthesis of Highly Substituted Cyclopentane and Tetrahydrofuran Derivatives by Crossed Olefin Metathesis," <i>Angewandte Chemie International Edition</i> , Vol. 35, No. 4, 1996, pp. 411-412
▼	C115	Tian et al., "Model Studies and the ADMET Polymerization of Soybean Oil," <i>Journal of American Oil Chemists' Society</i> , AOCs Press, Vol. 79, No. 5, 2002, pp. 479-488

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